

# Recipient Designation Form—Information

## One-Time Death Benefit/Cash Balance Lump-Sum Payment

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**To be valid, this form must be received and accepted by CalSTRS before your death.**

This new *Recipient Designation* form replaces the *One-Time Death Benefit Recipient* form and the *Cash Balance Beneficiary Designation* form. If you have one of these forms currently on file with CalSTRS, you do not need to submit a new *Recipient Designation* form unless you wish to make a change to your recipient designation.

This form will not protect your survivor with a lifetime benefit. To provide your survivors with a lifetime benefit, submit the *Preretirement Election of Option* form.

### DEFINED BENEFIT PROGRAM MEMBERS

Use this form to designate recipients to receive the one-time benefit that may be payable in the event of your death. If you are an active member at the time of your death, any accumulated contributions in your account will be paid to your designated recipients only if you did not elect an option beneficiary to receive a continuing benefit after your death, or you have no spouse, registered domestic partner or children eligible to receive a family or survivor benefit allowance after your death.

If your death occurs before retirement, your recipients may be eligible to receive the balance in your Defined Benefit Supplement account as an ongoing annuity or a lump-sum payment. If your death occurs after retirement, your recipients may be eligible for the ongoing annuity you elected at retirement.

### CASH BALANCE BENEFIT PROGRAM PARTICIPANTS

Use this form to designate recipients to receive the benefit in the event of your death.

If you are receiving an annuity at the time of your death, the benefit payable is determined based on the annuity you elected.

If your recipient's (other than an entity) share of your account balance is at least \$3,500, he or she may elect to receive an annuity in place of a lump-sum payment.

### IMPORTANT FACTS

- This form remains in effect until either you submit another valid *Recipient Designation* form, or your membership in CalSTRS is terminated by a refund of your accumulated contributions. *It is important to keep this form current.*
- If your designated primary recipients predecease you, any benefit due will be paid to your secondary recipients, unless you submit a valid *Recipient Designation* form designating new recipients. If we are unable to locate your designated recipients, the death benefit will be distributed to the best of our ability according to the laws in existence at the time of your death.
- If you do not have a valid *Recipient Designation* form on file with CalSTRS before your death or if all your designated recipients predecease you, any benefit due will be paid to your estate.
- You may change your recipient designations at any time—before or after—retirement. There is no fee or financial penalty for changing your designation.

### QUESTIONS

E-mail us at [CalSTRS.com/contactus](http://CalSTRS.com/contactus) or call 800-228-5453.

This form is available at [CalSTRS.com](http://CalSTRS.com) (select *Forms and Publications*).

Return your completed form to:

CalSTRS  
P.O. Box 15275, MS 43  
Sacramento, CA 95851-0275

# Recipient Designation Form—Instructions

## One-Time Death Benefit/Cash Balance Lump-Sum Payment

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Print clearly in dark ink or type all information requested. Initial all corrections on the form.

Check the appropriate box to identify your CalSTRS membership status.

If you are both a Defined Benefit Program member and Cash Balance Benefit Program participant and you are designating different recipients for each, you must complete two separate *Recipient Designation* forms.

### SECTION 1: MEMBER/PARTICIPANT INFORMATION

Enter your full name, Client ID or Social Security number, complete mailing address, birth date, telephone number and e-mail address.

### SECTIONS 2 AND 3: PRIMARY AND SECONDARY RECIPIENTS OR TRUST

You may name a living person, an estate, a trust, a corporation, a charitable organization, a parochial institution or a public entity as your recipient.

- **Persons**—Provide full name, address, telephone number, Social Security number, birth date and relationship.
- **Organization**—To designate an organization, check the box and enter the name and address of the organization and the organization's tax identification number. Include organization contact information whenever possible.
- **Trust**—To designate a trust, check the box and enter the full name of the trust, the trustee name, the trustee's address, and the date the trust was created. CalSTRS will contact the trustee and pay benefits to the trust. You do not need to provide the trust document at this time.

- **Estate**—To designate your estate, check the box and enter “My Estate” for the recipient's name. Upon your death, if your estate is not subject to probate, CalSTRS will pay benefits pursuant to California Probate Code section 13101.

Check box on page 3 if additional recipients are listed on an attachment. Identify each as *primary* or *secondary*.

You may designate a percentage for each recipient. If you use percentages, the total must equal 100% for the primary recipient section and/or secondary recipient section.

### SECTION 4: REQUIRED SIGNATURES

You must sign and date your form. If you are married or registered as a domestic partner, your spouse or partner must also sign and date your form acknowledging your recipients and provide his or her Social Security number and date of birth.

If your spouse or registered domestic partner does not sign your form, you must complete the *Justification for Non-Signature of Spouse or Registered Domestic Partner*.

Failure to have the required signatures will result in the rejection of your *Recipient Designation* form.

If you divorced or terminated a registered domestic partnership and a portion of your CalSTRS benefits was awarded to a former spouse or partner, check the box that indicates this. You may need to refer to your settlement agreement. In addition, if your court documents have not been reviewed by CalSTRS, you may be asked to provide them.

# **Recipient Designation Form**

## One-Time Death Benefit/Cash Balance Lump-Sum Payment

MS0002 (Rev. 5/10)

**CALSTRS**  
California State Teachers' Retirement System  
P.O. Box 15275, MS 43  
Sacramento, CA 95851-0275  
800-228-5453  
CalSTRS.com

This form is for designating recipients to receive the death benefits payable in the event of your death under the CalSTRS Defined Benefit Program and the Cash Balance Program. Print clearly in dark ink, or type all information requested and initial any corrections.

Check one of the following:

- I am a member of the Defined Benefit Program. My recipient designation is for a one-time death benefit payable upon my death.
- I am a participant of the Cash Balance Benefit Program. My recipient designation is for lump-sum payment to be distributed upon my death.
- I am a member/participant of both the Defined Benefit and Cash Balance programs. My recipient designation is for the lump-sum death benefits payable under both programs. (Refer to instructions if recipients are different between programs.)

I hereby revoke any previous designations and designate the following primary recipients—or their survivors—to receive equal amounts, unless otherwise specified as recipients for any benefits payable under the Teachers' Retirement Law at the time of my death. If I survive the primary recipients, I designate the secondary recipients—or their survivors—to share equally unless otherwise specified as recipients for any benefits under law at the time of my death. If I survive all of my named recipients, then any benefit payable at the time of my death will be paid to my estate. I understand this form does not designate a recipient to receive a continuing monthly retirement benefit.

Return your signed form to: CalSTRS • P.O. Box 15275, MS 43 • Sacramento, CA 95851-0275

## **Section 1: Member/Participant Information**

|                             |  |          |                |
|-----------------------------|--|----------|----------------|
| NAME (LAST, FIRST, INITIAL) | CLIENT ID OR SOCIAL SECURITY NUMBER      |          |                |
| MAILING ADDRESS             | DATE OF BIRTH (MM/DD/YYYY)<br>(        ) |          |                |
| CITY                        | STATE                                    | ZIP CODE | HOME TELEPHONE |
| E-MAIL ADDRESS              |  |          |                |

## **Section 2: Primary Recipients**

Use this area to designate one or more *primary* recipients to receive a death benefit.

Use additional sheets if needed. 

|  |           |  |          |
|--|-----------|--|----------|
| FULL NAME OF PERSON, TRUST OR ORGANIZATION   |           | (        )   |          |
| MAILING ADDRESS  | TELEPHONE |  |          |
| CITY   |           | STATE  | ZIP CODE |
| <input type="checkbox"/> Person – Relationship: _____<br><input type="checkbox"/> Male <input type="checkbox"/> Female |           | SOCIAL SECURITY NUMBER/TAXPAYER ID NUMBER/EMPLOYER ID NUMBER |          |
| <input type="checkbox"/> Organization – Contact Name: _____<br><input type="checkbox"/> Trust                          |           | DATE OF BIRTH/TRUST DATE (MM/DD/YYYY)                        |          |
| <input type="checkbox"/> Estate  |           | PERCENTAGE<br>(MUST TOTAL 100% FOR ALL PRIMARY RECIPIENTS)   |          |



MS0002

**Section 2: Primary Recipients** continued

FULL NAME OF PERSON, TRUST OR ORGANIZATION

( )

MAILING ADDRESS

TELEPHONE

CITY

STATE

ZIP CODE

 Person – Relationship: \_\_\_\_\_ Male       Female

SOCIAL SECURITY NUMBER/TIN/EIN

 Organization – Contact Name: \_\_\_\_\_

DATE OF BIRTH/TRUST DATE (MM/DD/YYYY)

 Trust Estate

PERCENTAGE

(MUST TOTAL 100% FOR ALL PRIMARY RECIPIENTS)

FULL NAME OF PERSON, TRUST OR ORGANIZATION

( )

MAILING ADDRESS

TELEPHONE

CITY

STATE

ZIP CODE

 Person – Relationship: \_\_\_\_\_ Male       Female

SOCIAL SECURITY NUMBER/TIN/EIN

 Organization – Contact Name: \_\_\_\_\_

DATE OF BIRTH/TRUST DATE (MM/DD/YYYY)

 Trust Estate

PERCENTAGE

(MUST TOTAL 100% FOR ALL PRIMARY RECIPIENTS)

**Section 3: Secondary Recipients**

Use this area to designate one or more *secondary* recipients to receive a death benefit should all of your primary recipients predecease you. Use additional sheets if needed. 

FULL NAME OF PERSON, TRUST OR ORGANIZATION

( )

MAILING ADDRESS

TELEPHONE

CITY

STATE

ZIP CODE

 Person – Relationship: \_\_\_\_\_ Male       Female

SOCIAL SECURITY NUMBER/TIN/EIN

 Organization – Contact Name: \_\_\_\_\_

DATE OF BIRTH/TRUST DATE (MM/DD/YYYY)

 Trust Estate

PERCENTAGE

(MUST TOTAL 100% FOR ALL SECONDARY RECIPIENTS)

**Section 3: Secondary Recipients** continued

FULL NAME OF PERSON, TRUST OR ORGANIZATION

( )

MAILING ADDRESS

TELEPHONE

CITY

STATE

ZIP CODE

 Person – Relationship: \_\_\_\_\_ Male       Female

SOCIAL SECURITY NUMBER/TIN/EIN

 Organization – Contact Name: \_\_\_\_\_

DATE OF BIRTH/TRUST DATE (MM/DD/YYYY)

 Trust EstatePERCENTAGE  
(MUST TOTAL 100% FOR ALL SECONDARY RECIPIENTS) Check box if additional recipients are listed on an attachment. Identify each as *primary* or *secondary*.**Section 4: Required Signatures**

Check all that apply.

- I am married or registered as a domestic partner and both our signatures are below.
- I am married or registered as a domestic partner and my spouse or partner did not sign below. I have completed and signed the *Justification for Non-Signature of Spouse or Registered Domestic Partner* section on the next page.
- I have never been married or in a registered domestic partnership, or I am widowed or my partner has died.
- I have been divorced or terminated a registered domestic partnership and my former spouse or partner was awarded a portion of my CalSTRS benefits.
- I have been divorced or terminated a registered domestic partnership and my former spouse or partner was not awarded a portion of my CalSTRS benefits.

**I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.  
I understand that perjury is punishable by imprisonment for up to four years (Penal Code section 126).****I understand it is a crime to fail to disclose a material fact or to make any knowingly false material statements for the purpose of altering a benefit administered by CalSTRS and it may result in penalties, including restitution, up to one year in jail and a fine of up to \$5,000 (Education Code section 22010).**

MEMBER'S SIGNATURE

DATE (MM/DD/YYYY)



SPOUSE'S OR REGISTERED DOMESTIC PARTNER'S SIGNATURE

DATE (MM/DD/YYYY)

SPOUSE'S OR PARTNER'S NAME (LAST, FIRST, INITIAL)

SPOUSE'S OR PARTNER'S SOCIAL SECURITY NUMBER

SPOUSE'S OR PARTNER'S DATE OF BIRTH (MM/DD/YYYY)

**Justification for Non-Signature of Spouse or Registered Domestic Partner**

As required by Education Code sections 22453 and 26703, any request related to the selection of benefits by a member in which spousal or registered domestic partner interest may be present requires the signature of the spouse or registered domestic partner unless one of the following conditions exist. If you are married or registered as a domestic partner and your spouse or partner does not sign this form, you must check the appropriate box indicating the reason your spouse or partner did not sign.

- I do not know and have taken all reasonable steps to determine the whereabouts of my spouse or registered domestic partner.
- My spouse or registered domestic partner is incapable of executing the acknowledgment because of an incapacitating mental or physical condition.
- My current spouse or registered domestic partner has no identifiable community property interest in the benefits.
- My spouse or registered domestic partner and I have executed a settlement agreement that makes the community property law inapplicable to the marriage or registered domestic partnership.
- My spouse or registered domestic partner has refused to sign the acknowledgment. Court action will be or has been initiated to enforce or waive the signature requirement for my spouse or partner. (CalSTRS must have a certified copy of the court order before any designation can be made. Submit a certified copy of the court order when you receive it.) Education Code sections 22454 and 26704

**I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct. I understand that perjury is punishable by imprisonment for up to four years (Penal Code section 126).**

**I understand it is a crime to fail to disclose a material fact or to make any knowingly false material statements for the purpose of altering a benefit administered by CalSTRS and it may result in penalties, including restitution, up to one year in jail and a fine of up to \$5,000 (Education Code section 22010).**



MEMBER'S SIGNATURE

DATE (MM/DD/YYYY)

**If this form is not completely filled out, it will not be accepted and will be returned to you. Your current recipient status will not be updated. Review your form carefully before submitting:**

- Did you designate at least one primary recipient and provide all the requested information?
- If you designated a trust, did you provide the name and date the trust was created? Do not provide your trust document at this time.
- If you designated percentages, do they equal 100% for your primary recipients and/or secondary recipients?
- Did you sign and date the form?
- If you are married or in a registered domestic partnership, did your spouse or partner sign and date the form?
- If you cannot obtain your spouse or partner's signature, did you complete, sign and date the *Justification for Non-Signature of Spouse or Registered Domestic Partner*?